IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Pan et al.

Serial No.: 09/073,494

Filed: May 6, 1998

For: TECHNIQUE FOR ELIMINATION OF PITTING ON SILICON SUBSTRATE DURING GATE STACK ETCH

Examiner: H. Vu

Group Art Unit: 2811

Attorney Docket No.: 2915.1US (96-149.1)

Express Mail Mailing Label No.: ____EL 740549789 US____

Date of Deposit with USPS: _____August 24, 2001____

Person making Deposit: _____Jared Turner_____

NOTICE OF EXPRESS MAILING

The following documents are transmitted herewith by Express Mail as identified above:

Communication Transmittal (1 page, in duplicate)
Check No. 17198 in the amount of $160.00
Request for Continued Examination (RCE) Transmittal (1 page, in duplicate)
Check No. 17195 in the amount of $710.00
Preliminary Amendment (6 pages)
Petition for Extension of Time (1 page, in duplicate)
Check No. 17196 in the amount of $110.00

CERTIFICATE OF MAILING (under 37 C.F.R. 1.10 if checked)

Express Mail Mailing Label No.: <u>EL 740549789 US</u>

Date of Deposit with USPS: <u>August 24, 2001</u>

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner: H. Vu	Group Art Unit No.: 2811
Applicant(s): Pan et al.	Filing date: May 6, 1998
Serial No.: 09/073,494	For (title): TECHNIQUE FOR ELIMINATION OF PITTING ON SILICON SUBSTRATE DURING GATE STACK ETCH

COMMUNICATION TRANSMITTAL

Commissioner for Patents
Washington, D.C. 20231

Sir:

Enclosed for filing in connection with the above-identified patent application, and submitted in the order listed, are:

[X] Postcard receipt acknowledgment (attached to the front of this transmittal).

[X] Duplicate copy of this transmittal sheet in the event that additional filing fees are required under 37 C.F.R. § 1.16. Any such fees may be charged to deposit account no. 20-1469.

[ ] Check no. _____ in the amount of $_____ for the presentation of extra claims as calculated in the remarks section below.

[ ] Preliminary amendment.

[ ] Amendment or other communication in response to the non-final office action mailed .

[X] Amendment or other communication under 37 C.F.R. § 1.116 in response to the final office action mailed April 24, 2001.

[X] Petition for Extension of Time in duplicate with check no. 17196 in the amount of $110.00.

[ ] Verified statement(s) to establish small entity status under 37 C.F.R. § 1.9 and 37 C.F.R. § 1.27 signed by (or on behalf of) .

[ ] Information disclosure statement and information disclosure citation form PTO-1449 with copies of listed documents.

[X] Request for Continued Examination (RCE) Transmittal and Check No. 17195 in the amount of $710.00

Remarks:

[X] An amendment has been made involving one or more claims in the application. The calculation to determine whether any additional fee is due is presented below.

	[1]	[2]	[3]			$EXTRA
Total claims	12	- 20	= 0	x 18.00	= 0	0
Indep. claims	6	- 4	= 2	x 80.00	=	160.00
First presentation of a multiple dep. claim (+260.00)						0
SUBTOTAL						160.00
Reduction for small entity - 50% of subtotal*						0
TOTAL ADDITIONAL FEE (subtotal minus any reduction)						160.00

*Verified statement(s) must be attached to support this reduction if small entity status has not been previously established.
1 Claims remaining after amendment.
2 Highest number of claims previously paid for. Not less than 20 for total claims and 3 for independent claims.
3 Difference between claims remaining and highest number previously paid for. If less than zero, enter "0."

[X] The commissioner is authorized to charge any additional fees required but not submitted with any document or request requiring fee payment under 37 C.F.R. §§ 1.16 and 1.17 to deposit account no. 20-1469 during the entire pendency of this application.

Respectfully submitted,

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Date: August 24, 2001
Enclosures: As identified above